

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: S. Steven Carl, Franklin D. Dean, Jr., Donald
F. Tallman

Atty. Docket: HERC / 140

Title: COUNTERMEASURE WASHDOWN SYSTEM CLEANING

Date: December 21, 2001

UTILITY PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

This is a request for filing, under 37 CFR § 1.53(b), a(n):

- ☒ **Original (non-provisional) application.**
☐ Divisional of prior application Serial No. __, filed on __.
☐ Continuation of prior application Serial No. __, filed on __.
☐ Continuation-in-part of prior application Serial No. __, filed on __.

PRELIMINARY AMENDMENT/CALCULATION OF FEES

- ☐ Please cancel claims __ without prejudice, and prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.
☐ Please enter the enclosed preliminary amendment identified below prior to calculating the fees. __ total claim(s), of which __ is(are) independent, is(are) pending after the amendment.

☒ **Small Entity Status is Requested**

☒ **The Fees are Calculated as Follows:**

| Fee: | Number of Claims: | In Excess of: | Extra: | At Rate: | Amount: |
|---|-------------------|---------------|--------|----------|----------|
| Total Claims | 26 | 20 | 6 | \$18 | \$108.00 |
| Independent Claims | 3 | 3 | 0 | \$84 | \$0.00 |
| MULTIPLE DEPENDENT CLAIM FEE | | | | | |
| BASIC FEE | | | | | \$740.00 |
| TOTAL OF ABOVE CALCULATIONS | | | | | \$848.00 |
| REDUCTION BY 50% FOR FILING BY SMALL ENTITY | | | | | \$424.00 |
| TOTAL | | | | | \$424.00 |

ENCLOSURES

- ☒ Utility Patent Application Transmittal Form (in duplicate).
- ☒ Return Postcard.

APPLICATION PAPERS

- ☒ Utility Patent Application, with: cover sheet, 22 page(s) specification (including 26 total claim(s), of which 3 is(are) independent), and 1 page(s) abstract.
- ☒ Drawings: 2 sheet(s) of formal drawings (4 total figure(s)).
- ☐ Microfiche Computer Program (Appendix).
- ☐ Nucleotide and/or Amino Acid Sequence, including (all are necessary): Computer Readable Copy, Paper Copy (identical to computer copy), and Statement verifying identity of copies.
- ☒ An Executed Declaration, Power of Attorney and Petition Form.
- ☐ Copy of Executed Declaration, Power of Attorney and Petition Form from prior application identified above.
- ☐ Certified Copy of priority document(s) identified as attached above.

ADDITIONAL PAPERS

- ☒ Assignment to H.E.R.C. Products Incorporated of 2215 W. Melinda Lane, Suite A, Phoenix, AZ 85027, Recordation Cover Sheet (Form PTO-1595)
- ☐ Preliminary Amendment (to be entered prior to calculation of fees)
- ☒ Information Disclosure Statement, 4 sheet(s) Form PTO-1449, 35 U.S. Patent Reference(s), 0 Foreign Patent Reference(s) and 0 Other Reference(s)
- ☐ Other:

CHECKS

- ☒ A Check of \$424.00 for the filing fee.
- ☒ A Check of \$40.00 for the assignment recording fee.

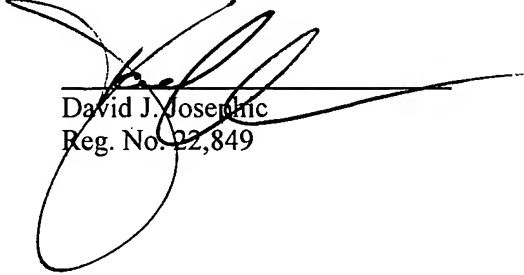
DEPOSIT ACCOUNT AUTHORIZATION

- ☐ Please charge Deposit Account No. 23-3000 in the amount of .
- ☒ The Commissioner is authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 23-3000. A duplicate of this transmittal is attached.
- ☐ THE PAYMENT OF FEES IS BEING DEFERRED.

WOOD, HERRON & EVANS, L.L.P.
2700 Carew Tower
441 Vine St.
Cincinnati, Ohio 45202
(513) 241-2324

DJJ/cps

Respectfully Submitted,


David J. Joseph
Reg. No. 22,849

1005621-22001